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L2 and arbit\$5	143

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<u>L3</u>	L2 and arbit\$5	143	<u>L3</u>
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<u>L2</u>	(transaction or task or job) same (queue or FIFO) same grant\$3 same bus	187	<u>L2</u>
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*DB=DWPI; PLUR=YES; OP=OR*

<u>L1</u>	(transaction or task or job) same (queue or FIFO) same grant\$3 same bus	2	<u>L1</u>
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Terms	Documents
L1 and arbit?	0

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0

L2L1   (transaction or task or job) same (queue or FIFO) same grant same  
bus

115

L1

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l6 and ((deadlock or livelock) same retr\$3)	33

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*DB=USPT; PLUR=YES; OP=OR*L7    l6 and ((deadlock or livelock) same retr\$3)    33    L7L6    (deadlock or livelock) same bus same (bridge or expansion)    112    L6L5    (deadlock or livelock) same (split adj1 bus) same (bridge or expansion)    5    L5*DB=PGPB,JPAB,EPAB,DWPI,TDBD; PLUR=YES; OP=OR*L4    L3    0    L4*DB=USPT; PLUR=YES; OP=OR*L3    L2 and arbit\$5    143    L3L2    (transaction or task or job) same (queue or FIFO) same grant\$3 same bus    187    L2*DB=DWPI; PLUR=YES; OP=OR*L1    (transaction or task or job) same (queue or FIFO) same grant\$3 same bus    2    L1

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*DB=PGPB,JPAB,EPAB,DWPI,TDBD; PLUR=YES; OP=OR*L8    L70    L8*DB=USPT; PLUR=YES; OP=OR*L7    l6 and ((deadlock or livelock) same retr\$3)33    L7L6    (deadlock or livelock) same bus same (bridge or expansion)112    L6L5    (deadlock or livelock) same (split adj1 bus) same (bridge or expansion)5    L5*DB=PGPB,JPAB,EPAB,DWPI,TDBD; PLUR=YES; OP=OR*L4    L30    L4*DB=USPT; PLUR=YES; OP=OR*L3    L2 and arbit\$5143    L3L2    (transaction or task or job) same (queue or FIFO) same grant\$3 same bus187    L2*DB=DWPI; PLUR=YES; OP=OR*L1    (transaction or task or job) same (queue or FIFO) same grant\$3 same bus2    L1

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(710/311)!.CCLS. or 710/110.ccls. or 710/107.ccls. or 710/263.ccls. or 710/41.ccls. or 710/41.ccls. or 710/52.ccls. or 709/100.ccls. or 709/208.ccls. or 714/47.ccls. or 711/151.ccls.	3587

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	DB=USPT; PLUR=YES; OP=OR		
<u>L1</u>	(710/311)!.CCLS. or 710/110.ccls. or 710/107.ccls. or 710/263.ccls. or 710/41.ccls. or 710/41.ccls. or 710/52.ccls. or 709/100.ccls. or 709/208.ccls. or 714/47.ccls. or 711/151.ccls.	3587	<u>L1</u>

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L3 and (deadlock or livelock)	52

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L4

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<i>DB=USPT; PLUR=YES; OP=OR</i>			
<u>L4</u>	L3 and (deadlock or livelock)	52	<u>L4</u>
<u>L3</u>	l1 and L2	218	<u>L3</u>
<u>L2</u>	(transaction or task or job) same grant\$3 same bus (710/311)!.CCLS. or 710/110.ccls. or 710/107.ccls. or 710/263.ccls. or 710/41.ccls. or 710/41.ccls. or 710/52.ccls. or 709/100.ccls. or 709/208.ccls. or 714/47.ccls. or 711/151.ccls.	980	<u>L2</u>
<u>L1</u>		3587	<u>L1</u>

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Volume: 47 Issue: 5 , May 2000

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[\[Abstract\]](#) [\[PDF Full-Text \(888 KB\)\]](#) **JNL****2 Evolving rules for a self-organizing finite element mesh generation algorithm***Langham, A.E.; Grant, P.W.*Evolutionary Computation, 1999. CEC 99. Proceedings of the 1999 Congress  
-168 Vol. 1[\[Abstract\]](#) [\[PDF Full-Text \(1052 KB\)\]](#) **CNF****3 Performance enhancement through joint detection of cochannel signals using diversity arrays***Grant, S.J.; Cavers, J.K.*Communications, IEEE Transactions on , Volume: 46 Issue: 8 , Aug. 1998  
Page(s): 1038 -1049[\[Abstract\]](#) [\[PDF Full-Text \(340 KB\)\]](#) **JNL****4 Performance model for a prioritized multiple-bus multiprocessor system***John, L.K.; Yu-Cheng Liu*

Computers, IEEE Transactions on , Volume: 45 Issue: 5 , May 1996

Page(s): 580 -588

[\[Abstract\]](#) [\[PDF Full-Text \(724 KB\)\]](#) **JNL**

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**5 CMOS design of the tree arbiter element**

*Josephs, M.B.; Yantchev, J.T.*

Very Large Scale Integration (VLSI) Systems, IEEE Transactions on , Volume 4 , Dec. 1996

Page(s): 472 -476

[\[Abstract\]](#) [\[PDF Full-Text \(392 KB\)\]](#) **JNL**

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**6 Some solutions for FIP network interconnection**

*Saba, G.; Mammeri, Z.; Thomesse, J.P.*

Factory Communication Systems, 1995. WFCS '95, Proceedings., 1995 IEEE International Workshop on , 1995

Page(s): 13 -20

[\[Abstract\]](#) [\[PDF Full-Text \(620 KB\)\]](#) **CNF**

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**7 A low latency asynchronous arbitration circuit**

*Yakovlev, A.; Petrov, A.; Lavagno, L.*

Very Large Scale Integration (VLSI) Systems, IEEE Transactions on , Volume 3 , Sept. 1994

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[\[Abstract\]](#) [\[PDF Full-Text \(584 KB\)\]](#) **JNL**

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**8 Orthogonal least squares learning algorithm for radial basis function networks**

*Chen, S.; Cowan, C.F.N.; Grant, P.M.*

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**9 A finite-element method for the prediction of joule heating of cond electromagnetic launchers**

*Auton, J.R.; Grant, C.R.; Houghton, R.L.; Thompson, H.P.*

Magnetics, IEEE Transactions on , Volume: 25 Issue: 1 , Jan. 1989

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[\[Abstract\]](#) [\[PDF Full-Text \(272 KB\)\]](#) **JNL**

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Performance model for a prioritized multiple-bus multiprocessor system  
- [John, L.K. Yu-Cheng Liu](#)

Dept. of Comput. Sci. & Eng., Univ. of South Florida, Tampa, FL, USA

*This paper appears in:* Computers, IEEE Transactions on

On page(s): 580 - 588

May 1996

Volume: 45 Issue: 5

ISSN: 0018-9340

References Cited: 24

CODEN: ITCOB4

INSPEC Accession Number: 5294010

### Abstract:

The performance of a shared memory multiprocessor system with a multiple-I interconnection network is studied in this paper. The effect of bus and memory contention is modeled using a probabilistic model and a closed form solution for acceptance probability of each processor is presented. It is assumed that each in the system has a distinct priority assigned to it and that arbitration is based on priority. Whenever a request from a processor is rejected due to bus or memory conflicts, the request is resubmitted until granted. Based on the model, individual processor acceptance probabilities are first estimated, from which the effective bandwidth is computed. The accuracy of the analytical model is verified based on simulation results. Results from the model are compared against other approximate models previously reported in literature. It is observed that the inaccuracy of measured in terms of error from simulation results is less than that in previous reported studies.

### Index Terms:

[shared memory systems performance evaluation](#) [multiprocessing systems shared memory multiprocessor system performance](#) [multiple-bus interconnection network](#) [prioritized multiple-bus multiprocessor acceptance probability](#) [distinct priority acceptance probabilities](#) [memory bandwidth](#)

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CMOS design of the tree arbiter element

- Josephs, M.B. Yantchev, J.T.

Centre for Concurrent Syst. & VLSI, South Bank Univ., London, UK

*This paper appears in:* Very Large Scale Integration (VLSI) Systems, IEEE Transactions on

On page(s): 472 - 476

Dec. 1996

Volume: 4 Issue: 4

ISSN: 1063-8210

References Cited: 14

CODEN: IEVSE9

INSPEC Accession Number: 5442088

### Abstract:

An asynchronous arbiter dynamically allocates a resource in response to request processes. Glitch-free operation when two requests arrive concurrently is possible in CMOS technologies. Multiway arbitration using a request-grant-release-acknowledge protocol can be achieved by connecting together two-way arbiters (mutual exclusive tree arbiter elements). We have devised a fast and compact design for the tree arbiter element which offers eager forward-propagation of requests. It compares favorably with a well-known design in which request propagation must wait for arbitration to complete. Our analysis and simulations also suggest that no performance improvement can be obtained by incorporating eager acknowledgment of releases. All of the designs considered in this paper are speed-independent, a formal property of a network of elements which can be taken as a positive indication of their robustness.

### Index Terms:

CMOS logic circuits logic design resource allocation asynchronous circuits flip-flop integrated circuit design CMOS design tree arbiter element asynchronous arbiter dynamical resource allocation glitch-free operation multiway arbitration request-grant-release-acknowledge protocol two-way arbiters

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2. T. J. Chaney, C. E. Molnar, "Anomalous behavior of synchroniser and arbiter", *IEEE Trans. Comput.*, vol.C-22, pp.421-422, Apr. 1973.
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Sep 10, 2002

US-PAT-NO: 6449678

DOCUMENT-IDENTIFIER: US 6449678 B1

TITLE: Method and system for multiple read/write transactions across a bridge system

DATE-ISSUED: September 10, 2002

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Batchelor; Gary William	Tucson	AZ		
Ellison; Russell Lee	Corona De Tucson	AZ		
Jones; Carl Evan	Tucson	AZ		
Medlin; Robert Earl	Tucson	AZ		
Tafesse; Belayneh	Tucson	AZ		
Wade; Forrest Lee	Tucson	AZ		
Yanes; Juan Antonio	Tucson	AZ		

## ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE	CODE
International Business Machines Corporation	Armonk	NY				02

APPL-NO: 09/ 275470 [PALM]

DATE FILED: March 24, 1999

## PARENT-CASE:

CROSS-REFERENCE TO RELATED APPLICATIONS This application is related to the following co-pending and commonly-assigned patent applications, which applications were filed on the same date herewith, and which applications are incorporated herein by reference in their entirety: "Method And System For Prefetching Data in a Bridge System," to Gary W. Batchelor, Carl E. Jones, Forrest Lee Wade, U.S. application Ser. No. 09/275,857; "Read Gather on Delayed Read Requests and Write Gather on Posted Write Operations for PCI Agents," to Gary W. Batchelor, Carl E. Jones, Dell P. Leabo, Robert E. Medlin, and Forrest Lee Wade, U.S. application Ser. No. 09/275,603; and "Delayed Read Continuation on Prefetched Data Non-Continuous Address," to Gary W. Batchelor, Brent C. Beardsley, Matthew J. Kalos, and Forrest Lee Wade, U.S. application Ser. No. 09/275,610.

INT-CL: [07] G06 F 13/00

US-CL-ISSUED: 710/310; 710/306

US-CL-CURRENT: 710/310; 710/306

FIELD-OF-SEARCH: 710/129, 710/126, 710/127, 710/128, 710/7, 710/20, 710/52, 710/305, 710/306, 710/310, 710/311, 710/312, 710/313, 710/314, 710/315

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<input type="checkbox"/>	<u>5768548</u>	June 1998	Young et al.	
<input type="checkbox"/>	<u>5835741</u>	November 1998	Elkhoury et al.	710/129
<input type="checkbox"/>	<u>5991843</u>	November 1999	Porterfield et al.	710/112
<input type="checkbox"/>	<u>6219737</u>	April 2001	Chen et al.	710/129
<input type="checkbox"/>	<u>6256699</u>	July 2001	Lee	710/126

## OTHER PUBLICATIONS

PCI to PCI Bridge Architecture Specification; PCI Local Bus, Revision 1.0, Apr. 5, 1994.  
PCI Local Bus Specification; PCI Local Bus, Revision 2.1, Jun. 1, 1995 (Chapter 3.0, Appendix E).  
PCI-to-PCI Bridge Architecture Specification, PCI Local Bus, Revision 1.1, Dec. 18, 1998 (Chapter 3, 4, 5).  
PCI Local Bus Specification; PCI Local Bus, Revision 2.2, Dec. 18, 1998 (Chapter 1, 2, 3).  
U.S. patent application Ser. No. 09/275,857 (TU9-98-072 18.42).  
U.S. patent application Ser. No. 09/275,603 (TU9-98-073 18.43).  
U.S. patent application Ser. No. 09/275,610 (TU9-98-074 18.44).

ART-UNIT: 2181

PRIMARY-EXAMINER: Wong; Peter

ASSISTANT-EXAMINER: Chung-Trans; X.

## ABSTRACT:

Disclosed is a system for processing read/write transactions from a plurality of agents over a bus. The bridge includes at least one request buffer for each agent in communication with the bridge. The request buffer for an agent buffers transactions originating from that agent. The bridge further includes a return buffer for each agent in communication with the bridge. The return buffer for an agent buffers return data in connection with a transaction. Address translation circuitry is in communication with the bus and request and return buffers. The address translation circuitry locates a request buffer to queue the transaction, such that a transaction is stored in the request buffer corresponding to the agent that originated the transaction. Further, the address translation circuitry stores read return data for a read transaction in the return buffer corresponding to the agent originating the transaction.

30 Claims, 8 Drawing figures

**WEST**

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L9: Entry 6 of 12

File: USPT

Mar 13, 2001

US-PAT-NO: 6202112

DOCUMENT-IDENTIFIER: US 6202112 B1

TITLE: Arbitration methods to avoid deadlock and livelock when performing  
transactions across a bridge

DATE-ISSUED: March 13, 2001

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Gadagkar; Ashish	Sunnyvale	CA		
Bogin; Zohar	Folsom	CA		
Khandekar; Narendra	Folsom	CA		
Lent; David D.	Placerville	CA		

## ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
Intel Corporation	Santa Clara	CA			02

APPL-NO: 09/ 205351 [PALM]

DATE FILED: December 3, 1998

INT-CL: [07] G06 F 13/42

US-CL-ISSUED: 710/118; 710/112, 710/129

US-CL-CURRENT: 710/118; 710/112, 710/309

FIELD-OF-SEARCH: 710/105, 710/113-118, 710/112, 710/119-125, 710/241, 710/242,  
710/129, 713/401

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

Search Selected

Search ALL

	PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
<input type="checkbox"/>	<u>4796022</u>	January 1989	Schenkel et al.	
<input type="checkbox"/>	<u>4975829</u>	December 1990	Clarey et al.	
<input type="checkbox"/>	<u>5278828</u>	January 1994	Chao	
<input type="checkbox"/>	<u>5535340</u>	July 1996	Bell et al.	710/112
<input type="checkbox"/>	<u>5611058</u>	March 1997	Moore et al.	710/129
<input type="checkbox"/>	<u>5754802</u>	May 1998	Okazawa et al.	710/129
<input type="checkbox"/>	<u>5949980</u>	September 1999	Lee et al.	710/112
<input type="checkbox"/>	<u>5961623</u>	October 1999	James et al.	710/113
<input type="checkbox"/>	<u>5974465</u>	October 1999	Wong	709/234
<input type="checkbox"/>	<u>5999969</u>	December 1999	Holmes et al.	709/213

ART-UNIT: 271

PRIMARY-EXAMINER: Myers; Paul R.

ABSTRACT:

An embodiment of the invention is directed at a bridge having an outbound pipe for buffering transaction information and data being transported from various devices to a bus. The bridge has an arbiter for granting requests associated with these devices to access the outbound pipe for transferring the transaction information and data into the pipe. The bridge generates a reject signal in response to an initial request associated with an initial transaction from a first one of the devices if the outbound pipe is unavailable to accept further transaction information or data. The bridge has response control logic for generating a retry response for the initial transaction in response to the reject signal. The bridge is able to assert a stamp signal in response to the reject signal. The arbiter in response to the stamp being asserted waits, without granting any other lower priority requests to access the outbound pipe, until a subsequent transaction from the first device makes progress.

33 Claims, 7 Drawing figures

**WEST**

Generate Collection

Print

L9: Entry 7 of 12

File: USPT

Feb 1, 2000

US-PAT-NO: 6021451

DOCUMENT-IDENTIFIER: US 6021451 A

TITLE: Method and apparatus for maintaining transaction ordering and arbitrating in a bus bridge

DATE-ISSUED: February 1, 2000

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Bell; D. Michael	Beaverton	OR		
Gonzales; Mark A.	Portland	OR		
Meredith; Susan S.	Hillsboro	OR		

## ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
Intel Corporation	Santa Clara	CA			02

APPL-NO: 09/ 156175 [PALM]

DATE FILED: September 17, 1998

## PARENT-CASE:

This is a continuation of application Ser. No. 08/889,756, filed Jul. 10, 1997, U.S. Pat. No. 5,835,739 which is a continuation of application Ser. No. 08/639,184, filed Apr. 26, 1996, abandoned, which is a continuation of application Ser. No. 08/246,776, filed May 20, 1994, now U.S. Pat. No. 5,546,546.

INT-CL: [06] G06 F 13/40

US-CL-ISSUED: 710/128; 710/100, 710/105, 710/112, 710/126, 710/129

US-CL-CURRENT: 710/309; 710/100, 710/105, 710/112, 710/310

FIELD-OF-SEARCH: 395/308, 395/292, 395/309, 395/306, 395/280, 395/285, 710/100, 710/112, 710/129, 710/126, 710/128, 710/105

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

Search Selected

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	PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
<input type="checkbox"/>	<u>5191649</u>	March 1993	Cadambi et al.	709/225
<input type="checkbox"/>	<u>5269005</u>	December 1993	Heil et al.	710/49
<input type="checkbox"/>	<u>5307505</u>	April 1994	Houlberg et al.	709/253
<input type="checkbox"/>	<u>5327570</u>	July 1994	Foster et al.	712/30
<input type="checkbox"/>	<u>5333276</u>	July 1994	Solari	712/220
<input type="checkbox"/>	<u>5369748</u>	November 1994	Mcfarland et al.	710/126
<input type="checkbox"/>	<u>5546546</u>	August 1996	Bell et al.	710/112
<input type="checkbox"/>	<u>5835739</u>	November 1998	Bell et al.	710/128

## FOREIGN PATENT DOCUMENTS

FOREIGN-PAT-NO	PUBN-DATE	COUNTRY	US-CL
0 524 684 A2	January 1993	EP	

## OTHER PUBLICATIONS

Popescu, Val, et al., "The Metaflow Architecture," IEEE Micro, Jun. 1991, pp. 10-13 and 63-73.

Supplementary European Search Report dated Jul. 17, 1997 (2 pgs.).

ART-UNIT: 271

PRIMARY-EXAMINER: Sheikh; Ayaz R.

ASSISTANT-EXAMINER: Jean; Frantz Blanchard

## ABSTRACT:

A bus bridge situated between two buses includes two queues: an outbound request queue and an inbound request queue. Requests originating on the first bus which target a destination on the second bus are placed into the outbound request queue. Requests originating on the second bus which target a destination on the first bus are placed into the inbound request queue. A transaction arbitration unit (TAU) within the bridge maintains transaction ordering and avoids deadlocks. The TAU determines whether requests can be placed in the inbound request queue. The TAU also determines whether requests originating on the first bus can be responded to immediately or whether the agent originating the request must wait for a reply. In addition, the TAU includes logic for determining whether a request in the outbound request queue can be executed on the second bus. The TAU determines whether posting to the inbound request queue is enabled or disabled; whether any posted transactions exist in the inbound request queue; and whether ownership of the second bus is available.

32 Claims, 11 Drawing figures

**WEST**

Generate Collection

Print

L9: Entry 8 of 12

File: USPT

Nov 30, 1999

US-PAT-NO: 5996036

DOCUMENT-IDENTIFIER: US 5996036 A

TITLE: Bus transaction reordering in a computer system having unordered slaves

DATE-ISSUED: November 30, 1999

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Kelly; James D.	Aptos	CA		

## ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
Apple Computers, Inc.	Cupertino	CA			02

APPL-NO: 08/ 779632 [PALM]

DATE FILED: January 7, 1997

INT-CL: [06] G06 F 9/46, G06 F 13/36, G11 C 7/00

US-CL-ISSUED: 710/110; 710/107, 709/208

US-CL-CURRENT: 710/110; 709/208, 710/107

FIELD-OF-SEARCH: 710/110, 710/107, 710/263, 710/41, 710/52, 711/151, 709/100-102, 709/208

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

Search Selected

Search ALL

	PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
<input type="checkbox"/>	<u>4181974</u>	January 1980	Lemay et al.	364/900
<input type="checkbox"/>	<u>4473880</u>	September 1984	Budde et al.	364/200
<input type="checkbox"/>	<u>4965716</u>	October 1990	Sweeney	364/200
<input type="checkbox"/>	<u>5006982</u>	April 1991	Ebersole et al.	710/263
<input type="checkbox"/>	<u>5191649</u>	March 1993	Cadambi et al.	395/200
<input type="checkbox"/>	<u>5257356</u>	October 1993	Brockmann et al.	395/725
<input type="checkbox"/>	<u>5287477</u>	February 1994	Johnson et al.	395/425
<input type="checkbox"/>	<u>5327538</u>	July 1994	Hamaguchi et al.	395/325
<input type="checkbox"/>	<u>5345562</u>	September 1994	Chen	395/275
<input type="checkbox"/>	<u>5375215</u>	December 1994	Hanawa et al.	395/425
<input type="checkbox"/>	<u>5473762</u>	December 1995	Krein et al.	395/287
<input type="checkbox"/>	<u>5592631</u>	January 1997	Kelly et al.	395/293
<input type="checkbox"/>	<u>5682512</u>	October 1997	Tetrick	711/202
<input type="checkbox"/>	<u>5822772</u>	October 1998	Chan et al.	711/158

ART-UNIT: 271

PRIMARY-EXAMINER: Ray; Gopal C.

## ABSTRACT:

A mechanism is provided for reordering bus transactions to increase bus utilization in a computer system in which a split-transaction bus is bridged to a single-envelope bus. In one embodiment, both masters and slaves are ordered, simplifying implementation. In another embodiment, the system is more loosely coupled with only masters being ordered. Greater bus utilization is thereby achieved. To avoid deadlock, transactions begun on the split-transaction bus are monitored. When a combination of transactions would, if a predetermined further transaction were to begin, result in deadlock, this condition is detected. In the more tightly coupled system, the predetermined further transaction, if it is requested, is refused, thereby avoiding deadlock. In the more loosely-coupled system, the flexibility afforded by unordered slaves is taken advantage of to, in the typical case, reorder the transactions and avoid deadlock without killing any transaction. Where a data dependency exists that would prevent such reordering, the further transactions is killed as in the more tightly-coupled embodiment. Data dependencies are detected in accordance with address-coincidence signals generated by slave devices on a cache-line basis. In accordance with a further optimization, at least one slave device (e.g., DRAM) generates page-coincidence bits. When two transactions to the slave device are to the same address page, the transactions are reordered if necessary to ensure that they are executed one after another without any intervening transaction. Latency of the slave is thereby reduced.

17 Claims, 26 Drawing figures



**WEST**☐  

L9: Entry 9 of 12

File: USPT

Aug 3, 1999

US-PAT-NO: 5933612

DOCUMENT-IDENTIFIER: US 5933612 A

TITLE: Deadlock avoidance in a split-bus computer system

DATE-ISSUED: August 3, 1999

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Kelly; James D.	Aptos	CA		
Regal; Michael L.	Campbell	CA		

## ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
Apple Computer, Inc.	Cupertino	CA			02

APPL-NO: 08/ 903412 [PALM]

DATE FILED: July 30, 1997

## PARENT-CASE:

This application is a continuation of application Ser. No. 08/432,622, filed May 2, 1995 abandoned.

INT-CL: [06] G06 F 13/00

US-CL-ISSUED: 395/306; 395/184.01, 395/200.54

US-CL-CURRENT: 710/311; 714/47

FIELD-OF-SEARCH: 395/184.01, 395/200.54, 395/726, 395/308, 395/306

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

	PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
<input type="checkbox"/>	<u>5442763</u>	August 1995	Bartfai et al.	395/375
<input type="checkbox"/>	<u>5469435</u>	November 1995	Krein et al.	370/85.2
<input type="checkbox"/>	<u>5473762</u>	December 1995	Kelly et al.	395/287
<input type="checkbox"/>	<u>5542056</u>	July 1996	Jaffa et al.	395/306
<input type="checkbox"/>	<u>5544332</u>	August 1996	Chen	395/288
<input type="checkbox"/>	<u>5546546</u>	August 1996	Bell et al.	395/292
<input type="checkbox"/>	<u>5592670</u>	January 1997	Pletcher	395/670
<input type="checkbox"/>	<u>5680402</u>	October 1997	Olnowich et al.	370/468

ART-UNIT: 271

PRIMARY-EXAMINER: Sheikh; Ayaz R.

ASSISTANT-EXAMINER: Wiley; David A.

ABSTRACT:

A mechanism is provided for avoiding deadlock in a computer system in which a split-transaction bus is bridged to a single-envelope bus. In one embodiment, transactions begun on said split-transaction bus are monitored. When a combination of transactions would, if a predetermined further transaction were to begin, result in deadlock, this condition is detected. The predetermined further transaction, if it is requested, is refused, thereby avoiding deadlock. In accordance with another embodiment of the invention, the bus bridge detects when a state of the split-transaction bus would, if a protocol of said split-transaction bus were adhered to, result in deadlock. The bus bridge then drives one or more signals on the split-transaction bus in disregard of the protocol of the split-transaction bus, thereby avoiding deadlock. In accordance with still a further embodiment of the invention, transactions accepted within the bus bridge are monitored. When a combination of said transactions would, if a predetermined further transaction were to begin, result in deadlock, this condition is detected. The predetermined further transaction, if it is requested, is refused, thereby avoiding deadlock.

25 Claims, 18 Drawing figures

WEST

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L9: Entry 10 of 12

File: USPT

Jul 27, 1999

US-PAT-NO: 5930485

DOCUMENT-IDENTIFIER: US 5930485 A

TITLE: Deadlock avoidance in a computer system having unordered slaves

DATE-ISSUED: July 27, 1999

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Kelly; James D.	Aptos	CA		

## ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
Apple Computer, Inc.	Cupertino	CA			02

APPL-NO: 08/ 779913 [PALM]

DATE FILED: January 7, 1997

INT-CL: [06] G06 F 13/14, G06 F 13/40

US-CL-ISSUED: 395/292; 395/290, 395/293, 395/308

US-CL-CURRENT: 710/112; 710/110, 710/113, 710/309, 710/310

FIELD-OF-SEARCH: 395/290, 395/292, 395/293, 395/308, 395/309, 395/728, 395/729

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

	PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
<input type="checkbox"/>	<u>4494193</u>	January 1985	Brahm et al.	364/200
<input type="checkbox"/>	<u>5305442</u>	April 1994	Pedersen et al.	395/325
<input type="checkbox"/>	<u>5355455</u>	October 1994	Hilgendorf et al.	395/325
<input type="checkbox"/>	<u>5363485</u>	November 1994	Nguyen et al.	395/250
<input type="checkbox"/>	<u>5418914</u>	May 1995	Heil et al.	395/325
<input type="checkbox"/>	<u>5442763</u>	August 1995	Bartfai et al.	395/375
<input type="checkbox"/>	<u>5469435</u>	November 1995	Krein et al.	370/85.2
<input type="checkbox"/>	<u>5473762</u>	December 1995	Krein et al.	395/287
<input type="checkbox"/>	<u>5542056</u>	July 1996	Jaffa et al.	395/306
<input type="checkbox"/>	<u>5544332</u>	August 1996	Chen	395/288
<input type="checkbox"/>	<u>5546546</u>	August 1996	Bell et al.	395/292
<input type="checkbox"/>	<u>5592631</u>	January 1997	Kelly et al.	395/293
<input type="checkbox"/>	<u>5592670</u>	January 1997	Pletcher	395/670
<input type="checkbox"/>	<u>5615343</u>	March 1997	Sarangdhar et al.	395/282
<input type="checkbox"/>	<u>5680402</u>	October 1997	Olnowich et al.	370/498
<input type="checkbox"/>	<u>5708794</u>	January 1998	Parks et al.	395/481

ART-UNIT: 271

PRIMARY-EXAMINER: Sheikh; Ayaz R.

ASSISTANT-EXAMINER: Pancholi; Jigar

## ABSTRACT:

A mechanism is provided for reordering bus transactions to increase bus utilization in a computer system in which a split-transaction bus is bridged to a single-envelope bus. In one embodiment, both masters and slaves are ordered, simplifying implementation. In another embodiment, the system is more loosely coupled with only masters being ordered. Greater bus utilization is thereby achieved. To avoid deadlock, transactions begun on said split-transaction bus are monitored. When a combination of transactions would, if a predetermined further transaction were to begin, result in deadlock, this condition is detected. In the more tightly coupled system, the predetermined further transaction, if it is requested, is refused, thereby avoiding deadlock. In the more loosely-coupled system, the flexibility afforded by unordered slaves is taken advantage of to, in the typical case, reorder the transactions and avoid deadlock without killing any transaction. Where a data dependency exists that would prevent such reordering, the further transactions is killed as in the more tightly-coupled embodiment. Data dependencies are detected in accordance with address-coincidence signals generated by slave devices on a cache-line basis. In accordance with a further optimization, at least one slave device (e.g., DRAM) generates page-coincidence bits. When two transactions to the slave device are to the same address page, the transactions are reordered if necessary to ensure that they are executed one after another without any intervening transaction. Latency of the slave is thereby reduced.

24 Claims, 28 Drawing figures

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L5: Entry 11 of 14

File: USPT

Jun 2, 1998

US-PAT-NO: 5761454

DOCUMENT-IDENTIFIER: US 5761454 A

TITLE: Deadlock resolution methods and apparatus for interfacing concurrent and asynchronous buses

DATE-ISSUED: June 2, 1998

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Adusumilli; Swaroop	Tempe	AZ		
Davis; Barry M.	Phoenix	AZ		
Fall; Brian N.	Chandler	AZ		
Richardson; Nicholas J.	La Jolla	CA		
Wszolek; Philip	Phoenix	AZ		

US-CL-CURRENT: 710/311; 710/105, 710/107, 710/108, 710/200, 710/36, 712/217

## ABSTRACT:

A deadlock detection and resolution circuit for resolving a deadlock condition in a bridge circuit coupled to a memory, a host bus and a PCI bus of a computer system. The host bus and the PCI bus are configured to operate concurrently and asynchronously. The bridge circuit includes a host master circuit and a PCI slave circuit coupled between the host bus and the PCI bus and configured to service a PCI-MEMORY instruction from an external PCI master coupled to the PCI bus. A PCI master circuit and a host slave circuit within the bridge circuit couples between the PCI bus and the host bus and configured to service a CPU-PCI transaction from a CPU coupled to the host bus. The aforementioned deadlock condition occurs when the PCI-MEMORY transaction proceeds simultaneous with an issuance of the CPU-PCI transaction. The deadlock detection and resolution circuit includes first circuit for asserting an asynchronous handshake signal to the PCI slave of the bridge circuit. There is further included second circuit for determining whether the PCI slave is still able to complete the PCI-MEMORY transaction. Additionally, there is included third circuit for asserting an asynchronous handshake acknowledge signal to cancel the CPU-PCI transaction and removing the deadlock condition if the PCI slave is unable to complete the PCI-MEMORY transaction.

24 Claims, 4 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 4

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L9: Entry 1 of 12

File: USPT

Sep 10, 2002

US-PAT-NO: 6449678

DOCUMENT-IDENTIFIER: US 6449678 B1

TITLE: Method and system for multiple read/write transactions across a bridge system

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KMC
Draw Desc	Image										

☐ 2. Document ID: US 6425023 B1

L9: Entry 2 of 12

File: USPT

Jul 23, 2002

US-PAT-NO: 6425023

DOCUMENT-IDENTIFIER: US 6425023 B1

TITLE: Method and system for gathering and buffering sequential data for a transaction comprising multiple data access requests

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KMC
Draw Desc	Image										

☐ 3. Document ID: US 6301632 B1

L9: Entry 3 of 12

File: USPT

Oct 9, 2001

US-PAT-NO: 6301632

DOCUMENT-IDENTIFIER: US 6301632 B1

TITLE: Direct memory access system and method to bridge PCI bus protocols and hitachi SH4 protocols

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KMC
Draw Desc	Image										

☐ 4. Document ID: US 6286074 B1

L9: Entry 4 of 12

File: USPT

Sep 4, 2001

US-PAT-NO: 6286074

DOCUMENT-IDENTIFIER: US 6286074 B1

TITLE: Method and system for reading prefetched data across a bridge system

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KMC
Draw Desc	Image										

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☐ 5. Document ID: US 6247102 B1

L9: Entry 5 of 12

File: USPT

Jun 12, 2001

US-PAT-NO: 6247102

DOCUMENT-IDENTIFIER: US 6247102 B1

TITLE: Computer system employing memory controller and bridge interface permitting concurrent operation

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KMC
Draw Desc	Image										

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☐ 6. Document ID: US 6202112 B1

L9: Entry 6 of 12

File: USPT

Mar 13, 2001

US-PAT-NO: 6202112

DOCUMENT-IDENTIFIER: US 6202112 B1

TITLE: Arbitration methods to avoid deadlock and livelock when performing transactions across a bridge

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KMC
Draw Desc	Image										

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☐ 7. Document ID: US 6021451 A

L9: Entry 7 of 12

File: USPT

Feb 1, 2000

US-PAT-NO: 6021451

DOCUMENT-IDENTIFIER: US 6021451 A

TITLE: Method and apparatus for maintaining transaction ordering and arbitrating in a bus bridge

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	KMC
Draw Desc	Image									

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☐ 8. Document ID: US 5996036 A

L9: Entry 8 of 12

File: USPT

Nov 30, 1999

US-PAT-NO: 5996036

DOCUMENT-IDENTIFIER: US 5996036 A

TITLE: Bus transaction reordering in a computer system having unordered slaves

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	KWIC
Draw Desc	Image									

☐ 9. Document ID: US 5933612 A

L9: Entry 9 of 12

File: USPT

Aug 3, 1999

US-PAT-NO: 5933612

DOCUMENT-IDENTIFIER: US 5933612 A

TITLE: Deadlock avoidance in a split-bus computer system

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	KWIC
Draw Desc	Image									

☐ 10. Document ID: US 5930485 A

L9: Entry 10 of 12

File: USPT

Jul 27, 1999

US-PAT-NO: 5930485

DOCUMENT-IDENTIFIER: US 5930485 A

TITLE: Deadlock avoidance in a computer system having unordered slaves

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	KWIC
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13 and L7	12

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L9: Entry 11 of 12

File: USPT

Nov 10, 1998

US-PAT-NO: 5835739

DOCUMENT-IDENTIFIER: US 5835739 A

TITLE: Method and apparatus for maintaining transaction ordering and arbitrating in a bus bridge

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	K00C
Draw Desc	Image									

☐ 12. Document ID: US 5546546 A

L9: Entry 12 of 12

File: USPT

Aug 13, 1996

US-PAT-NO: 5546546

DOCUMENT-IDENTIFIER: US 5546546 A

TITLE: Method and apparatus for maintaining transaction ordering and arbitrating in a bus bridge

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	K00C
Draw Desc	Image									

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Terms	Documents
13 and L7	12

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L1: Entry 1 of 2

File: USPT

Nov 30, 1999

US-PAT-NO: 5996036

DOCUMENT-IDENTIFIER: US 5996036 A

TITLE: Bus transaction reordering in a computer system having unordered slaves

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC
Draw	Desc	Image									

☐ 2. Document ID: US 5933612 A

L1: Entry 2 of 2

File: USPT

Aug 3, 1999

US-PAT-NO: 5933612

DOCUMENT-IDENTIFIER: US 5933612 A

TITLE: Deadlock avoidance in a split-bus computer system

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC
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Terms	Documents
5933612.pn. or 5996036.pn.	2

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L1: Entry 1 of 2

File: USPT

Nov 30, 1999

US-PAT-NO: 5996036

DOCUMENT-IDENTIFIER: US 5996036 A

TITLE: Bus transaction reordering in a computer system having unordered slaves

DATE-ISSUED: November 30, 1999

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Kelly; James D.	Aptos	CA		

## ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
Apple Computers, Inc.	Cupertino	CA			02

APPL-NO: 08/ 779632 [PALM]

DATE FILED: January 7, 1997

INT-CL: [06] G06 F 9/46, G06 F 13/36, G11 C 7/00

US-CL-ISSUED: 710/110; 710/107, 709/208

US-CL-CURRENT: 710/110; 709/208, 710/107

FIELD-OF-SEARCH: 710/110, 710/107, 710/263, 710/41, 710/52, 711/151, 709/100-102, 709/208

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

	PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
<input type="checkbox"/>	<u>4181974</u>	January 1980	Lemay et al.	364/900
<input type="checkbox"/>	<u>4473880</u>	September 1984	Budde et al.	364/200
<input type="checkbox"/>	<u>4965716</u>	October 1990	Sweeney	364/200
<input type="checkbox"/>	<u>5006982</u>	April 1991	Ebersole et al.	710/263
<input type="checkbox"/>	<u>5191649</u>	March 1993	Cadambi et al.	395/200
<input type="checkbox"/>	<u>5257356</u>	October 1993	Brockmann et al.	395/725
<input type="checkbox"/>	<u>5287477</u>	February 1994	Johnson et al.	395/425
<input type="checkbox"/>	<u>5327538</u>	July 1994	Hamaguchi et al.	395/325
<input type="checkbox"/>	<u>5345562</u>	September 1994	Chen	395/275
<input type="checkbox"/>	<u>5375215</u>	December 1994	Hanawa et al.	395/425
<input type="checkbox"/>	<u>5473762</u>	December 1995	Krein et al.	395/287
<input type="checkbox"/>	<u>5592631</u>	January 1997	Kelly et al.	395/293
<input type="checkbox"/>	<u>5682512</u>	October 1997	Tetrick	711/202
<input type="checkbox"/>	<u>5822772</u>	October 1998	Chan et al.	711/158

ART-UNIT: 271

PRIMARY-EXAMINER: Ray; Gopal C.

## ABSTRACT:

A mechanism is provided for reordering bus transactions to increase bus utilization in a computer system in which a split-transaction bus is bridged to a single-envelope bus. In one embodiment, both masters and slaves are ordered, simplifying implementation. In another embodiment, the system is more loosely coupled with only masters being ordered. Greater bus utilization is thereby achieved. To avoid deadlock, transactions begun on the split-transaction bus are monitored. When a combination of transactions would, if a predetermined further transaction were to begin, result in deadlock, this condition is detected. In the more tightly coupled system, the predetermined further transaction, if it is requested, is refused, thereby avoiding deadlock. In the more loosely-coupled system, the flexibility afforded by unordered slaves is taken advantage of to, in the typical case, reorder the transactions and avoid deadlock without killing any transaction. Where a data dependency exists that would prevent such reordering, the further transactions is killed as in the more tightly-coupled embodiment. Data dependencies are detected in accordance with address-coincidence signals generated by slave devices on a cache-line basis. In accordance with a further optimization, at least one slave device (e.g., DRAM) generates page-coincidence bits. When two transactions to the slave device are to the same address page, the transactions are reordered if necessary to ensure that they are executed one after another without any intervening transaction. Latency of the slave is thereby reduced.

17 Claims, 26 Drawing figures

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L1: Entry 2 of 2

File: USPT

Aug 3, 1999

US-PAT-NO: 5933612

DOCUMENT-IDENTIFIER: US 5933612 A

TITLE: Deadlock avoidance in a split-bus computer system

DATE-ISSUED: August 3, 1999

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Kelly; James D.	Aptos	CA		
Regal; Michael L.	Campbell	CA		

## ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
Apple Computer, Inc.	Cupertino	CA			02

APPL-NO: 08/ 903412 [PALM]

DATE FILED: July 30, 1997

## PARENT-CASE:

This application is a continuation of application Ser. No. 08/432,622, filed May 2, 1995 abandoned.

INT-CL: [06] G06 F 13/00

US-CL-ISSUED: 395/306; 395/184.01, 395/200.54

US-CL-CURRENT: 710/311; 714/47

FIELD-OF-SEARCH: 395/184.01, 395/200.54, 395/726, 395/308, 395/306

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

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	PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
<input type="checkbox"/>	<u>5442763</u>	August 1995	Bartfai et al.	395/375
<input type="checkbox"/>	<u>5469435</u>	November 1995	Krein et al.	370/85.2
<input type="checkbox"/>	<u>5473762</u>	December 1995	Kelly et al.	395/287
<input type="checkbox"/>	<u>5542056</u>	July 1996	Jaffa et al.	395/306
<input type="checkbox"/>	<u>5544332</u>	August 1996	Chen	395/288
<input type="checkbox"/>	<u>5546546</u>	August 1996	Bell et al.	395/292
<input type="checkbox"/>	<u>5592670</u>	January 1997	Pletcher	395/670
<input type="checkbox"/>	<u>5680402</u>	October 1997	Olnowich et al.	370/468

ART-UNIT: 271

PRIMARY-EXAMINER: Sheikh; Ayaz R.

ASSISTANT-EXAMINER: Wiley; David A.

ABSTRACT:

A mechanism is provided for avoiding deadlock in a computer system in which a split-transaction bus is bridged to a single-envelope bus. In one embodiment, transactions begun on said split-transaction bus are monitored. When a combination of transactions would, if a predetermined further transaction were to begin, result in deadlock, this condition is detected. The predetermined further transaction, if it is requested, is refused, thereby avoiding deadlock. In accordance with another embodiment of the invention, the bus bridge detects when a state of the split-transaction bus would, if a protocol of said split-transaction bus were adhered to, result in deadlock. The bus bridge then drives one or more signals on the split-transaction bus in disregard of the protocol of the split-transaction bus, thereby avoiding deadlock. In accordance with still a further embodiment of the invention, transactions accepted within the bus bridge are monitored. When a combination of said transactions would, if a predetermined further transaction were to begin, result in deadlock, this condition is detected. The predetermined further transaction, if it is requested, is refused, thereby avoiding deadlock.

25 Claims, 18 Drawing figures